



Privacy Policy

Your Privacy is Our Priority

At Streeter, Moore & Stipe LLC, we value the confidence you have placed in us. In trusting us with your assets, you provide us with personal and financial data. Streeter, Moore & Stipe LLC is committed to maintaining the confidentiality of the personal non-public information ("personal information") entrusted to us by our customers. Your privacy is very important to us, and we are dedicated to safeguarding your personal information as we serve your financial needs.

Our Privacy Policy

We believe you should know about Streeter, Moore & Stipe LLC's Privacy Policy and how we collect and protect your personal information. This Privacy Policy ("Policy") describes our practices and policy for collecting, sharing and protecting the personal information of our prospective, current and former customers. The Policy is applicable to Streeter, Moore & Stipe LLC. We are proud of our Policy and hope you will take a moment to read about it.

Information We Collect

The type of personal information we collect and use varies depending on the products or services you select. We collect personal information that enables us to serve your financial needs, develop and offer new products and services, and fulfill legal and regulatory requirements. Depending on the products or services you request, we obtain personal information about you from the following sources:

- Information, such as your name, address and social security number, provided on applications and other forms we receive from you or your investment advisor representative;
- Information from your communications with Streeter, Moore & Stipe LLC or from your investment advisor representative, which may be provided to us by telephone or in writing;
- Information about your transactions, such as the purchase and sale of investments or insurance products, account balances and parties to the transactions, which we receive from our affiliates or other third parties.

Sharing of Personal Information

We may share your personal information with our affiliates so that they may process and service your transactions. However, **Streeter, Moore & Stipe LLC never sells customer lists to any third party.** Further, we do not disclose personal information to nonaffiliated third parties, except as required by law or as permitted by law to service your account, such as follows:

- Third-party service providers that assist us in servicing your accounts (e.g. securities clearinghouses);
- Governmental agencies and law enforcement officials (e.g. valid subpoenas, court orders);
- For clients of Stephen Streeter, Rob Bandy, and Brian McGuire, such information may be shared with Financial Services International, Inc. (FSIC), a FINRA broker-dealer, in connection with FSIC's obligations to supervise these individuals pursuant to regulatory requirements; and
- Financial institutions that perform marketing services on our behalf or with whom we have joint marketing agreements that provide for the confidentiality of personal information.

Our employees and investment advisor representatives are aware of their strict responsibility to respect the confidentiality of your personal information.

Thank you for choosing to invest with Streeter, Moore & Stipe LLC. We value your relationship with us and assure you we will abide by our policy to protect your information.